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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Sheet | 1 | of | 3 |
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*Complete if Known*

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/628,681-Conf. #4491 |
| Filing Date            | July 28, 2003          |
| First Named Inventor   | Gilbert N. RILEY, Jr.  |
| Art Unit               | 1745                   |
| Examiner Name          | K. A. O'Neill          |
| Attorney Docket Number | 0112903.00128US2       |

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup>     | Foreign Patent Document   | Publication Date               | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear |
|--------------------|---------------------------|---|--------------------------------|---|---|
|                    |                           | Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known) | MM-DD-YYYY                     |   |   |
| BA                 | JP-04-144073 (abstract)   | 05-18-1992  | Shin Kobe Electric Mach Co Ltd |   |   |
| BB                 | JP-06-236768 (abstract)   | 08-23-1994  | TDK Corp                       |   |   |
| BC                 | JP-07-153492 (abstract)   | 06-16-1995  | Programme 3 Patent Holdings    |   |   |
| BD                 | JP-11/121025 (abstract)   | 04-30-1999  | Shin Kobe Electric Mach Co Ltd |   |   |
| BE                 | JP-2000-021415 (abstract) | 01-21-2000  | Sumitomo Electric Ind Ltd      |   |   |
| BF                 | JP-2000-048639 (abstract) | 02-18-2000  | Asahi Chem Ind Co Ltd          |   |   |

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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|--------------------|----------|---|------------------|---|---|
|                    |          | Country Code* Number* Kind Code* (if known) | MM-DD-YYYY       |   |   |
|                    | BG       | JP-2000-080406 (abstract)                   | 03-21-2000       | Katayama Tokushu Kogyo KK                       |   |
|                    | BH       | JP-2000-173645 (abstract)                   | 06-23-2000       | Yusasa Corp; Honda Motor Co Ltd                 |   |
|                    | BI       | JP-2000-228213 (abstract)                   | 08-15-2000       | Fuji Electric Co Ltd                            |   |
|                    | BJ       | JP-2000-248095 (abstract)                   | 09-12-2000       | Asahi Chem Ind Co Ltd                           |   |
|                    | BK       | JP-63-121272 (abstract)                     | 05-25-1988       | Matsushita Electric Ind Co Ltd                  |   |
|                    | BL       | KR-1019910007466                            | 09-26-1991       | Samsung Electron Devices Co.                    | X   |

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| Sheet   | 3 | of                       | 3                      |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | CA                       | "Defects" Unknown book chapter, p. 339-424  |                |
|                                 | CB                       | Allen, J "Electronically conductive Lithium Iron Phospho-olivines with Improved Electrochemical Performance," The Proceedings of the Electrochemical Society 2003:197-205.  |                |
|                                 | CC                       | ARBIZZANI et al, "Li1.01Mn1.974 surface modification by pol(3m4-Ethylenedioxiophene)," J. Power Sources, 119-121: 695-700   |                |
|                                 | CD                       | Elliot "Physics of Amorphous Materials" Longham Group Limited, 1993. 201 Pages.   |                |
|                                 | CE                       | Ep Appln No 01 988 312.3 Communication pursuant to Article 96(2) EPC" mailed 3/9/2005 (3 pgs)   |                |
|                                 | CF                       | Hadzioannou "Negative Hamaker Coefficients" Colloid & Polymer Sci, 257, 413-419 (1979) (9 pgs)  |                |
|                                 | CG                       | International Preliminary Examination Report for PCT/US01/48345, mailed 2/25/04 (7 Pages)   |                |
|                                 | CH                       | International Search Report, International Patent Application No. PCT/US01/48345, mailed 2/20/03 (9 Pages)  |                |
|                                 | CI                       | Long "Three-Dimensional Battery Architectures" Chem. Rev. 104:4463-4492 (2004)  |                |
|                                 | CJ                       | Written Opinion for PCT/US01/48345, mailed 8/22/03 (7 Pages)  |                |

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